CORRECTED VERSION

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(43) International Publication Date 13 September 2001 (13.09.2001)

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(51) International Patent Classification⁷: A61K 51/04, 51/10

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(71) Applicants and

(72) Inventors: MA, Dangshe [US/US]: 13011 Twinbrook Parkway, Rockville. MD 20851 (US). MCDEVITT, Michael, R. [US/US]: Apartment 6A. 5644 Netherland Avenue, Bronx, NY 10471 (US). SCHEINBERG, David, A. [US/US]: 325 Central Park West, New York, NY 10025 (US). SIMON, Jaime [US/US]: Route 1. Box 199-A. Angleton. TX 77515 (US). KIEFER, Garry, E. [US/US]: 114 Juniper Street, Lake Jackson. TX 77566 (US). FRANK, R., Keith [US/US]: 213 Pansy Path. Lake Jackson, TX 77566 (US). GULYAS, Gyongyi [US/US]: 305 Timbercreek Drive, Lake Jackson, TX 77566 (US).

- (74) Agent: KARADZIC, Dragan, J.; The Dow Chemical Company, Intellectual Property, B-1211, 2301 N. Brazosport Boulevard, Freeport, TX 77541 (US).
- (81) Designated States (national): AE. AG. AL. AM. AT. AU. AZ. BA. BB, BG. BR. BY. BZ. CA. CH, CN. CR, CZ. DE. DK. DM. DZ. EE. ES. FI. GB. GD. GE. GH. GM. HR. HU. ID. IL. IN. IS, JP. KE. KG. KR. KZ. LC. LK. LR. LS. LT. LU. LV. MA. MD. MG. MK. MN. MW. MX. MZ. NO. NZ. PL. PT. RO. RU. SD. SE. SG. SI. SK. SL. TJ. TM. TR. TT. TZ. UA. UG, US. UZ, YU. ZA. ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian

[Continued on next page]

(54) Title: ACTINIUM-225 COMPLEXES AND CONJUGATES FOR RADIOIMMUNOTHERAPY

$$L \xrightarrow{\begin{pmatrix} X \\ C \\ Y \end{pmatrix}_m} (CH_2)_n - C \xrightarrow{l} (CH_2)_r - N \xrightarrow{N} N - Q$$
(1)

$$R^{1}$$
 Cyc
 s
 $(CH2)t$
 $(CH2)t$

(57) Abstract: Actinium-225 (225Ac) complexes with functionalized chelants of the formula (I) wherein: each Q is independently hydrogen or (CHR5)_pCO₂R: Q¹ is hydrogen or (CHR5)_wCO₂R: each R independently is hydrogen, benzyl or C₁-C₄ alkyl: with the proviso that at least two of the sum of Q and Q¹ must be other than hydrogen; each R⁵ independently is hydrogen; C₁-C₄ alkyl or (C₁-C₂ alkyl)phenyl: X and Y are each independently hydrogen or may be taken with an adjacent X and Y to form an additional carbon-carbon bond; n is 0 or 1; m is an integer from 0 to 10 inclusive; p is 1 or 2; r is 0 or 1; w is 0 or 1; with the proviso that n is only 1 when X and/or Y form an additional carbon to carbon bond, and the sum of r and w is 0 or 1; L is a linker/spacer group covalently bonded to, and replaces one hydrogen atom of one of the carbon atoms to which it is joined, said linker/spacer group being represented by the formula (1) wherein s is an integer of 0 or 1; t is an integer of 0 to 20 inclusive; R¹ is an electrophilic or nucleophilic moiety which allows for covalent attachment to an antibody or fragment thereof, or synthetic linker which can be attached to an antibody or fragment thereof, or precursor thereof; and Cyc represents a cyclic aliphatic moiety, aromatic moiety, aliphatic heterocyclic moiety, or aromatic heterocyclic moiety, each of said moieties optionally substituted with one or more groups which do not interfere with binding to an antibody or antibody fragment; with the proviso that when s, t, m, r, and n are 0, then R¹ is other than carboxyl; their pharmaceutically acceptable salts, their conjugates and the use thereof for radioimmunotherapy is disclosed.



WO 01/66155 A2



patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

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(72) Inventors; and

(75) Inventors/Applicants (for US only): MCDEVITT, Michael, R. [US/US]; Apartment 6A, 5644 Netherland Avenue, Bronx, NY 10471 (US). SCHEINBERG, David, A. [US/US]; 325 Central Park West, New York, NY 10025 (US). SIMON, Jaime [US/US]; Route 1, Box 199-A, Angleton, TX 77515 (US). KIEFER, Garry, E. [US/US]; 114 Juniper Street, Lake Jackson, TX 77566 (US). FRANK, R., Keith [US/US]; 213 Pansy Path, Lake

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- (74) Agent: KARADZIC, Dragan, J., The Dow Chemical Company, Intellectual Property, B-1211, 2301 N. Brazosport Boulevard, Freeport, TX 77541 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

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REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

S. 01/05927

International Filing Date

International A

23 FEB 2001

PCTINEERICATION POSS
Name of Receiving Office and "PCT International Application"

Applicant's or Agent's file reference 60364A

BOX NO. 1 TITLE OF INVENTION ACTINIUM-225 COMPLEXES AND CONJUGATES FOR RADIOIMMUNOTHERAPY					
Box No. II APPLICANT					
Name and address:					
MA, Dangshe 13011 Twinbrook Parkway Rockville, Maryland 20851 United States of America	Telephone No. (979) 238-2845				
·	Facsimile No (979) 238-0878				
	Teleprinter No.				
State (that is, country) of nationality:	State (that is, ∞untry) of residence:				
This person is applicant all designated all designated for the purposes of: States all designated the United States	States except tes of America the United States the States indicated in the Supplemental Box				
Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) IN	/ENTOR(S)				
Name and address: MCDEVITT, Michael R. 5644 Netherland Avenue Apartment 6A Bronx, New York 10471 United States of America	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)				
State (that is, country) of nationality:	State (that is, country) of residence:				
This person is applicant all designated all designated for the purposes of: States all designated the United States	States except tes of America the United States the States indicated in the Supplemental Box				
Further applicants and/or (further) inventors are indicated on a c	ontinuation sheet.				
Box No. IV AGENT OR COMMON REPRESENTATIVE; OR AD	DRESS FOR CORRESPONDENCE				
The person identified below is hereby/has been appointed to act on of the applicant(s) before the competent International Authorities as:	pehalf ☑ agent ☐ common representative				
Name and address: KARADZIC, Dragan J. The Dow Chemical Company Intellectual Property, B-1211 2301 N. Brazosport Boulevard Freeport, Texas 77541 United States of America	Telephone No. (979) 238-2845 Facsimile No. (979) 238-0878 Teleprinter No.				
Address for correspondence: Mark this check-box wh	ere no agent or common representative is/has been appointed and the				

space above is used instead to indicate a special address to which correspondence should be sent.

Corresponds to: Form PCT/RO/101 (first sheet) (January 2001)

PCT/US

Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)						
If none of the following sub-boxes is used, this sheet is not to be included in the request.						
Name and address: SCHEINBERG, David A. 325 Central Park West New York, New York 10025 United States of America	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)					
State (that is, country) of nationality: US	State (that is, country) of residence: US					
	ated States except the United States the States indicated in the States of America only the Supplemental Box					
Name and address: SIMON, Jaime Route 1, Box 199-A Angleton, Texas 77515 United States of America	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)					
State (that is, country) of nationality: US	State (that is, country) of residence: US					
	ated States except					
Name and address: KIEFER, Garry E. 114 Juniper Street Lake Jackson, Texas 77566 United States of America	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)					
State (that is, country) of nationality:	State (that is, country) of residence:					
	ated States except distance of America only the States indicated in the Supplemental Box					
Name and address: FRANK, R. Keith 213 Pansy Path Lake Jackson, Texas 77566 United States of America	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)					
State (that is, country) of nationality:	State (that is, country) of residence: US					
	ated States except					
X Further applicants and/or (further) inventors are indicated on	another continuation sheet					

Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)						
If none of the following sub-boxes is used,	this sheet is not to be	included in the request				
Name and address:	This person is:					
GULYAS, Gyongyi 305 Timbercreek Drive		☐ applicant only				
Lake Jackson, Texas 77566 United States of America		applicant and inventor				
		inventor only (If this check-box is marked, do not fill in below.)				
State (that is, country) of nationality:	State (that is, country) US	of residence:				
	ed States except States of America	the United States of America only the States indicated in the Supplemental Box				
Name and address:		This person is:				
		applicant only				
		applicant and inventor				
		inventor only (If this check-box is marked, do not fill in below.)				
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	ed States except States of America	the United States of America only the States indicated in the Supplemental Box				
Name and address:		This person is:				
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		applicant and inventor				
		inventor only (If this check-box is marked, do not fill in below.)				
State (that is, country) of nationality:	State (that is, country,	of residence:				
	ed States except States of America	the United States of America only the States indicated in the Supplemental Box				
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		applicant only				
		applicant and inventor				
		inventor only (If this check-box is marked, do not fill in below.)				
State (that is, country) of nationality:	State (that is, country) of nationality: State (that is, country) of residence:					
	ed States except States of America	the United States of America only the States indicated in the Supplemental Box				
Further applicants and/or (further) inventors are indicated on another continuation sheet						

Corresponds to: Form PCT/RO/101 (continuation sheet) (January 2001)

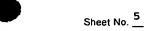




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DOX N	U. V	DESIGNATION OF STATES						
The fo	llowin	g designations are hereby made under Rule 4.9(a) (r	nark the a	pplical	ble check-boxes; at least one must be marked):			
Regio	nal P	atent						
X	AP	ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SU Sudea, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT						
X	EA	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT						
(X)	EP	European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT						
[X]								
Natio	nal Pa	atent (if other kind of protection or treatment desired,	specify or	n line).	•			
X	ΑE	United Arab Emirates		LC	Saint Lucia			
X	AL	Albania	X	LK	Sri Lanka			
X		Antigua & Barbuda		LR	Liberia			
X	AM	Armenia	(X)	LS	Lesotho			
X		Austria			Lithuania			
X		Australia		LU	Luxembourg			
×		Azerbaijan	1		Latvia			
×		Bosnia and Herzegovina		MA	Morocco			
$\overline{\mathbf{x}}$		Barbados	X		Republic of Moldova			
Ø	BG	Bulgaria			Madagascar			
X		Brazil			The former Yugoslav Republic of Macedonia			
<u>X</u>		Belarus			Mongolia			
X		Belize	×	MW	Malawi			
X	CA	Canada	Œ		Mexico			
X		and LI Switzerland and Liechtenstein	Œ		Mozambigue			
X		China			Norway			
X		Costa Rica			New Zealand			
ō	CU	Cuba			Poland			
X		Czech Republic		РΤ				
<u>X</u>		Germany		RO	Romania			
X		Denmark		RU				
X		Dominica	X		Sudan			
X		Algeria		SE	Sweden			
X		Estonia	-	SG	Singapore			
(X)		Spain		SI	Slovenia			
<u>X</u>	FI	Finland		sĸ	Slovakia			
X	GB	United Kingdom	<u> </u>	SL	Sierra Leone			
X		Grenada	X	TJ	Tajikistan			
X		Georgia			Turkmenistan			
X		Ghana			Turkey			
X		Gambia	. . .	TT	Trinidad and Tobago			
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X	IN	India	-		Uzbekistan			
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X		Kenya		ZΔ	South Africa			
X		Kyrgyzstan	-		Zimbabwe			
		Democratic People's Republic of Korea			s reserved for designating States which have become party to			
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(X)	K7	Republic of KoreaKazakhstan						
400	114	134441134411						

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)



Box No. VI PRIORITY CLA	IM Further priority claims are indicated in the Supplemental Box.				
Filing date of earlier application (day/month/year)	Number of earlier application	national application: country	regional application:* regional Office	international application: receiving Office	
item (1) 25 February 2000 (25.02.00)	60/185,220	us			
item (2)					
item (3)					
of the earlier application(s) (only if the earlier applicat	smit to the International Bureau a tion was filed with the Office which the receiving Office) identified abou	h for the		
		indatory to indicate in the Supplemen lication was filed (Rule 4.10(b)(ii)). S		party to the Paris Convention for	
Box No. VII INTERNATION	AL SEARCHING AUTHOR	ITY			
Choice of International Sea (if two or more International Sear to carry out the international sear the Authority chosen; the two-lett ISA / EP	ching Authorities are competen ch, indicate		or requested from the Internat	e to that search (if an earlier ional Searching Authority): Country (or regional Office)	
This international application of	contains the This internation	onal application is accompanied	by the item(s) marked b	elow: —	
request : description (excluding sequence listing part) : claims : abstract : drawings : sequence listing part of description : Total number of sheets :	following number of sheets: request description (excluding sequence listing part): claims abstract drawings sequence listing part of description claims abstract drawings sequence listing part of description 1. if fee calculation sheet 2. if separate signed power of attorney 3. if copy of general power of attorney; reference number, if any: 4. if statement explaining lack of signature 5. if priority document(s) identified in Box No. VI as item(s): 6. if translation of international application into (language): 7. if separate indications concerning deposited microorganisms or other biological material 8. if nucleotide and/or amino acid sequence listing in computer readable form 9. if other (specify): cover letter to USRO; Return Postcard				
Figure of the drawings which should accompany the abstract: Language of filing of the international application: English					
Box No. IX SIGNATURE O	F APPLICANT OR AGENT				
By: MA, Dangshe, et al By: Valoric Dragan V. Karadzic					
(23.02.01)					
Date of actual receipt of the purported international application: JC03 Rec*d PCT/PTO 2.3 FFB 2001 2. Drawings:					
3. Corrected date of actual receipt due to later but timety received papers or drawings completing the purported international application:					
4. Date of timely receipt of the required corrections under PCT Article 11(2):				ed:	
5. International Searching Authority (if two or more are competent): 6. □ Transmittal of search copy delayed until search fee is paid.					
Date of receipt of the record c by the International Bureau:	ору				





A. CLASSI IPC 7	FICATION OF SUBJECT MATTER A61K51/04 A61K51/10				
	o International Patent Classification (IPC) or to both national clas	ssification and IPC			
	SEARCHED ocumentation searched (classification system followed by classification system followed by classif	fication symbols)	· · · · · · · · · · · · · · · · · · ·		
IPC 7	A61K				
Documenta	tion searched other than minimum documentation to the extent ${f t}$.	hat such documents are included in the fields s	searched		
Electronic d	data base consulted during the international search (name of data	ta base and, where practical, search terms use	d)		
CHEM A	ABS Data, EMBASE, BIOSIS, EPO-Inte	rnal	·		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT				
Category °	Citation of document, with indication, where appropriate, of the	ne relevant passages	Relevant to claim No.		
A	US 5 428 154 A (MAEGERSTAEDT M AL) 27 June 1995 (1995-06-27) claims	1			
x	WO 99 30745 A (GOVINDAN SERENG; HANSEN HANS (US); GRIFFITHS GIM) 24 June 1999 (1999-06-24) claims	1-20			
		,			
X Fur	ther documents are listed in the continuation of box C.	Patent family members are lister	in annex.		
 Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance E* earlier document but published on or after the international filing date L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) O' document referring to an oral disclosure, use, exhibition or other means P* document published prior to the international filing date but later than the priority date claimed T* later document published after the international cite of priority date and not in conflict with the cited to understand the principle or theor invention X* document of particular relevance: the claim cannot be considered novel or cannot be involve an inventive step when the document of comment is combined with one or more ments, such combination being obvious in the art. X* document published after the international cited to understand the principle or theor invention X* document of particular relevance: the claim cannot be considered novel or cannot be involve an inventive step when the document is combined with one or more ments, such combination being obvious in the art. 			h the application but heavy underlying the claimed invention of the considered to ocument is taken alone claimed invention nventive step when the lore other such docupous to a person skilled		
Date of the	e actual completion of the international search	Date of maiting of the international se	earch report		
	25 March 2002	17/04/2002			
Name and	Name and mailting address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Authorized officer Authorized officer Berte, M				

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	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	neievant to daint no.
X	DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; SCHEINBERG, DAVID A. ET AL: "Clinical application of targeted alpha particles for cancer therapy" retrieved from STN Database accession no. 1998:141327 XP002194098 abstract & BOOK OF ABSTRACTS, 215TH ACS NATIONAL MEETING, DALLAS, MARCH 29-APRIL 2 (1998), NUCL-087 PUBLISHER: AMERICAN CHEMICAL SOCIETY, WASHINGTON, D. C.,	1-20
Ρ,Χ	WO 00 59896 A (US HEALTH ;DEAL KIM (US); BRECHBIEL MARTIN W (US)) 12 October 2000 (2000-10-12) claims	1
Ρ,Χ	MCDEVITT, M. R. (1) ET AL: "A novel alpha particle emitting 225Ac labeled anti-CD33 antibody construct: Synthesis and in vitro evaluation of biochemistry, potency, specificity and mechanism of cell death." JOURNAL OF NUCLEAR MEDICINE, (MAY, 2000) VOL. 41, NO. 5 SUPPL., PP. 30P. PRINT. MEETING INFO.: 47TH ANNUAL MEETING OF THE SOCIETY OF NUCLEAR MEDICINE ST. LOUIS, MISSOURI, USA JUNE 03-07, 2000 SOCIETY OF NUCLEAR MEDICINE., XP002194097 Nol16 page 30P	
E	WO 02 05859 A (COCKBAIN JULIAN ; LARSEN ROY H (NO); ANTICANCER THERAPEUTIC INV S A) 24 January 2002 (2002-01-24) claims	

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Information on patent family members

rnational Application No PCT/US 01/05927

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US 5428154	A	27-06-1995	AT AU AU CA DE DE IL JP JP JP WO	134999 T 655704 B2 3210893 A 630362 B2 3842889 A 1339015 A1 68925904 D1 68925904 T2 0416033 A1 90409 A 113068 A 2582341 B2 7258281 A 7020989 B 3503531 T 8911475 A1	15-03-1996 05-01-1995 01-04-1993 29-10-1992 12-12-1989 25-03-1997 11-04-1996 31-10-1996 13-03-1991 12-09-1996 14-11-1996 19-02-1997 09-10-1995 08-03-1995 08-08-1991 30-11-1989
WO 9930745	Α	24-06-1999	US AU WO	6120768 A 1825899 A 9930745 A2	19-09-2000 05-07-1999 24-06-1999
WO 0059896	Α	12-10-2000	AU WO	4450100 A 0059896 A1	23-10-2000 12-10-2000
WO 0205859	Α	24-01-2002	NO WO	20003457 A 0205859 A2	07-01-2002 24-01-2002